Selectivity of Metallocene-Catalyzed Olefin Polymerization: A Combined Experimental and Quantum Mechanical Study. The *ansa*-Me<sub>2</sub>Si(Ind)<sub>2</sub>Zr and *ansa*-Me<sub>2</sub>C(Cp)(Flu)Zr Systems

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ABSTRACT: DFT calculations are reported for all possible insertions of ethene and propene in rac-Me<sub>2</sub>-SiInd<sub>2</sub>Zr-R]<sup>+</sup> and Me<sub>2</sub>C(Cp)(Flu)Zr-R]<sup>+</sup> (R = Et and iPr). The results confirm the basic stereoregulation mechanism of Corradini. In addition, they provide an ordering of the possible sources of stereoerrors: chain misorientation is the main mechanism for unbranched (Et, nPr) chains, whereas for the  $\beta$ -branched iBu chain errors due to chain misorientation and chain—olefin syn orientation are equally likely.

### Introduction

We recently reported in this journal<sup>1</sup> on a combined theoretical/experimental study of the chemo- and regioselectivities of three nonchiral metallocene catalysts, namely  $Cp_2Ti-R^+$ ,  $Cp_2Zr-R^+$ , and  $Me_2SiCp_2Zr-R^+$ (the latter modeled as H<sub>2</sub>SiCp<sub>2</sub>Zr-R]<sup>+</sup>). Our aim was mainly to check how far, for these simple prototypical systems, a fully quantum-mechanical (QM) modeling of the active cation which does not explicitly include the solvent and the counterion is capable of reproducing the relative rates of all competing ethene and propene insertions in condensed phase. These relative rates were also measured specifically for this purpose with very high accuracy in order to provide a consistent and reliable reference data set. The fairly satisfactory agreement obtained is an indication that solvent and counterion effects, though undoubtedly important,2 are rather indiscriminate for these catalysts, and also that olefin insertion is the rate-determining step on the reaction path (i.e., the insertion transition state is the highest point of the reaction path).

In the present paper, we extend our study to two well-known<sup>3,4</sup> ansa-zirconocene cations with chirotopic sites, i.e., rac-Me<sub>2</sub>SiInd<sub>2</sub>Zr-R $^{\dagger}$  and Me<sub>2</sub>C(Cp)(Flu)Zr-R $^{\dagger}$  (Ind = 1-indenyl, Flu = 9-fluorenyl), for which stereoselectivity becomes an additional, fundamental issue. The former species can be regarded as the ancestor of present-day  $C_2$  symmetric metallocene catalysts for highly isotactic propene polymerization; the latter with its  $C_s$  symmetry opened the way to the synthesis of highly syndiotactic polypropylene. It is not surprising, therefore, that both have been addressed theoretically by a number of groups; however, to the best of our knowledge, a fully quantum-mechanical study including all possible routes to stereo- and regioerrors has never been reported. Here we present the results of such a

study, and compare them with experimental ones, most of which were recently obtained in our laboratory by means of thorough  $^{13}C$  NMR microstructural polymer analyses (see refs 4 and 6–8), as well as with those of part  $1^1$  for the simpler homologues  $Cp_2Zr-R \, \rceil^+$  and  $Me_2-SiCp_2Zr-R \, \rceil^+$ .

## **Computational Methods**

All calculations were carried out with the Turbomole program coupled to the PQS Baker optimizer. Geometries were fully optimized as minima or transition states at the b3-lyp level using the Turbomole SVP basisset on all atoms (def-SVP pseudopotential basis on Zr), and a fine ("m4") integration grid. To facilitate comparison with the Cp2Zr and H2SiCp2Zr systems studied earlier using smaller basissets and partially constrained optimizations, these smaller systems were also fully reoptimized at the b3-lyp/SVP level (using Me2SiCp2Zr instead of H2SiCp2Zr). All stationary points were characterized by vibrational analyses; ZPE and thermal (enthalpy and entropy) corrections (1 bar, 273 K) from these analyses are included. All energies mentioned in text and tables are free energies. It should be noted that, although thermal corrections mostly improve agreement with experiment here, they also cause some "noise" in the results, presumably because very low-frequency modes cannot always be handled correctly.

The ethyl (Et) and isopropyl (iPr) groups were used to simulate a primary and secondary growing polymer chain, respectively. For quantitative prediction of the enantioselectivity of propene insertion the Et residue is too small, so we have also checked enantioselectivity using n-propyl (nPr) and isobutyl (iBu) chain models.

For each cation we obviously examined only one of the two enantiomeric arrangements: the R,R ligand in  $\mathit{rac}\text{-}Me_2SiInd_2\text{-}Zr-R$ , and the  $\mathit{S}$  configuration of the Zr center in  $Me_2C(Cp)$ -(Flu)Zr-R].

Table S1 (see Supporting Information) contains total energies of all species studied. Rough estimates of combined solvation/counterion effects were made as described in ref 1. In brief, for each system, we assumed the following.

•{Mt(Et)(Ethene)]<sup>+</sup>}<sub>solv</sub> is 6 kcal above {Mt(Et)]<sup>+</sup>}<sub>solv</sub> + ethene. This estimate is based on calculations by Ziegler. <sup>12</sup> The *absolute* value is only important when one wants to make a comparison with experimental *activation energies* (which we do not attempt). The *relative* barriers considered here, instead, are *not* affected by this choice.

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system Cp<sub>2</sub>Zr Me<sub>2</sub>SiCp<sub>2</sub>Zr Me<sub>2</sub>SiInd<sub>2</sub>Zr Me<sub>2</sub>C(Cp)(Flu)Zr (E = ethene, P = propene)gas phase  $corr^a$ enantioface $^d$ gas phase gas phase  $\operatorname{corr}^a$ corra enantioface $^d$ gas phase corra  $L_2Zr-Et$ backskip TS 9.7 8.9 9.6(6.0)E complex 2.3 (6.0)3.7 (6.0)1.6 (6.0)3.6 ETS aw 9.8 12.3 7.8 11.5 10.3 12.6 8.8 13.2 12.1 14.5 E TS tow 10.1 14.6 3.3 6.7 -1.13.6 P complex 3.4 6.7 1.5 6.9 P TS 1,2 anti aw<sup>b</sup> 13.7 17.2 11.4 16.1 re13.9 17.3 Sİ 12.5 18.0 P TS 1,2 anti tow $^b$ 16.3 19.6 13.7 19.1 Si reP TS 1,2 syn aw<sup>b</sup> 17.1 20.5 14.3 19.0 17.4 20.7 14.9 20.3 Sİ reP TS 1,2 syn tow<sup>b</sup> 18.6 22.0 16.8 22.2 Sİ reP TS 2,1 anti aw<sup>b</sup> 17.8 21.2 15.2 19.9 si 18.0 21.3 19.7 25.1 reP TS 2,1 anti towb re25.3 28.7 Sİ 17.2 22.7 P TS 2,1 syn aw<sup>b</sup> 18.5 22.0 20.5 21.8 15.8 23.4 26.7 16.4 reSİ P TS 2,1 syn tow<sup>b</sup> 19.7 23.1 22.5 27.9 Sİ re $L_2Zr-iPr$ E complex 6.2 8.6 3.4 7.1 7.7 10.1 3.5 7.9 12.4 E TS 10.2 12.6 8.5 12.1 11.4 15.8 14.7 P complex 4.1 7.5 2.8 5.6 9.0 3.9 9.3 P TS 1,2 aw 16.9 20.3 19.4 22.8 17.4 22.8 15.1 19.8 Sİ reP TS 1,2 tow<sup>c</sup> 20.0 23.3 si 17.7 23.1 re

Table 1. Calculated Free Energies (kcal/mol, Relative to Zr-Et and Zr-IPr Complexes) for Ethene and Propene Complexes and Insertion Transition States

20.6

si

re

20.6

27.5

 $\bullet Solvation$  is the same for all isomeric olefin complexes and transition states.

18.4

21.8

16.0

P TS 2,1 aw<sup>c</sup>

P TS 2,1 tow<sup>c</sup>

•Adding a methyl group to either alkyl chain (e.g.,  $\operatorname{Et} \to \mathit{IP}$ r) or olefin (ethene  $\to$  propene) decreases solvation by a "fudge factor" of 1 kcal/mol. This choice only affects the relative preference for ethene and propene insertion of each species, and the relative reactivities of different alkyl species. The value of 1 kcal/mol is admittedly somewhat arbitrary,¹ but we believe that assuming  $\mathit{no}$  steric effects would be even worse.

These assumptions allow us to put all reactions of a given alkyl cation on the same energy scale. From here on, we will always refer to the "corrected" values, unless indicated otherwise.

In all tables, we reported only the energies of the lowestenergy olefin complex structures, even where these do not directly correspond to the geometries for the insertion transition states. As discussed earlier, the olefin complexation energy does not affect the predicted product distribution anyway.<sup>1</sup>

### **Results and Discussion**

**General Considerations.** With no exception, olefin insertion was found to proceed according to a standard Cossee mechanism,  $^{13a,b}$  assisted by an α-agostic interaction of the migrating alkyl group at the transition state.  $^{13c,d}$  Table 1 contains a compact presentation of all relevant QM results, including—for comparison—fully reoptimized data for the two achiral systems  $Cp_2Zr-R^{\dagger}$  and  $Me_2SiCp_2Zr-R^{\dagger}$  studied earlier. Table 2 compares regioselectivity for propene insertion in Et, nPr, and iBu chain models. Table 3 provides excerpts focusing on the calculated differences in insertion barriers determining the chemo-, enantio- and regioselectivities of all investigated  $Me_2SiInd_2Zr-R^{\dagger}$  and  $Me_2C-(Cp)(Flu)Zr-R^{\dagger}$  species, and on their comparison with experimental ones where possible.

In the following, the conventional notations  $k_{xy}$  and  $G^{\#}_{xy}$  are used to indicate the kinetic constant and activation free energy for monomer insertion "y" following insertion "x". The subscripts can be "E" for ethene; "p" ("primary") for 1,2-propene; and "s" ("secondary") for 2,1-propene. As an example,  $k_{ps}$  is the kinetic constant of 2,1-propene insertion in a growing chain with a 1,2-

Table 2. Relative Barriers (kcal/mol) for Propene Insertion in Me<sub>2</sub>SiInd<sub>2</sub>Zr–R $^{+}$  and Me<sub>2</sub>C(Cp)(Flu)Zr–R $^{+}$ 

24.0

30.9

si

re

18.2

23.2

23.7

28.6

system <sup>a</sup>		$Me_2SiInd_2Zr$		Me <sub>2</sub> C(Cp)(Flu)Zr	
		$enantioface^b$	$\Delta G^{\#}$	enantioface <sup>b</sup>	$\Delta G^{\#}$
L <sub>2</sub> Zr-Et]+	anti aw	re	(0)	si	(0)
	anti tow	Sİ	2.4	re	1.1
	syn aw	Sİ	3.5	re	2.3
$L_2Zr-nPr$	anti aw	re	(0)	si	(0)
	anti tow	Sİ	2.5	re	1.2
$L_2Zr-iBu$	anti aw	re	(0)	si	(0)
	anti tow	si	4.1	re	3.0
	syn aw	si	3.2	re	2.4

 $^{a}$  aw = chain avoiding indenyl/fluorenyl ligand; tow = chain toward ligand.  $^{b}$  Propene enantioface inserting at R,R-Me<sub>2</sub>SiInd<sub>2</sub>Zr-R]<sup>+</sup> or an S metal center of Me<sub>2</sub>C(Cp)(Flu)Zr-R]<sup>+</sup>.

propene last-inserted unit. For insertion into a specific alkyl group, the abbreviation of this group appears as the first subscript: for instance,  $k_{\rm Et,p}$  and  $G^{\sharp}_{\rm Et,p}$  for 1,2-propene insertion into Zr–Et.

**Olefin Binding.** The calculated *free* energies of olefin complexation are nearly all positive, i.e., coordination is endothermic, because of the large entropy of the gasphase monomers (in the absence of thermal corrections, complexation is always downhill). From the gas-phase data in Table 1, one can see that ethene binds to Me2-SiCp<sub>2</sub>Zr-Et |+ more weakly than propene (by ca. 2 kcal/ mol). This is no longer true for Cp<sub>2</sub>Zr-Et |+, Me<sub>2</sub>SiInd<sub>2</sub>-Zr-Et<sup>+</sup>, and  $Me_2C(Cp)(Flu)Zr-Et$ <sup>+</sup> (all  $\approx 0$  kcal/mol), mainly due to thermal corrections: propene is more constrained in these less open systems. Ethene coordinates more weakly to Me<sub>2</sub>SiInd<sub>2</sub>Zr-Et]<sup>+</sup> than to Me<sub>2</sub>-SiCp<sub>2</sub>Zr-Et<sup>+</sup> (also if thermal corrections are excluded). This may look somewhat surprising, since indenyl and fluorenyl ligands are usually considered to be weaker donors than cyclopentadienyls. Possibly, the polarizability of the arene rings has an effect that is more pronounced in the strongly electrophilic 12-e alkyl cations than in the 14-e alkyl-olefin complexes. Steric effects also play a role. For Cp<sub>2</sub>Zr-Et |+ and Me<sub>2</sub>SiCp<sub>2</sub>-Zr-Et |+, the most stable ethene complex is the *backside* 

<sup>&</sup>lt;sup>a</sup> Adjusted for solvation/counterion effects (see text). <sup>b</sup> aw = chain avoiding indenyl/fluorenyl ligand; tow = chain toward ligand. <sup>c</sup> aw = propene Me group avoiding indenyl/fluorenyl ligand; tow = propene Me group toward ligand. <sup>d</sup> Propene enantioface inserting at R,R-Me<sub>2</sub>SiInd<sub>2</sub>Zr-R |+ or an S metal center of Me<sub>2</sub>C(Cp)(Flu)Zr-R |+.

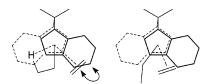
Table 3. Calculated Differences in Insertion Barriers (in kcal/mol) and Comparison with Experiment<sup>4,6-8</sup>

		$Me_2SiInd_2Zr-R$			$Me_2C(Cp)(Flu)Zr-R$		
	Et	<i>i</i> Bu	<i>i</i> Pr	Et	<i>i</i> Bu	<i>i</i> Pr	
$\Delta G^{\sharp}_{R,p}(enantio)$	2.4 (obs >2) <sup>a</sup> 4.0 (obs 3.2) <sup>f</sup>	$3.2 \text{ (obs } 2.6)^b$	0.6 (obs 0.5) <sup>c</sup> 1.2 (obs 1.8) <sup>g</sup>	1.1 (obs $0.7$ ) <sup>d</sup> 3.9 (obs $3.9$ ) <sup>f</sup>	2.4 (obs 2.2) <sup>e</sup>	0.3 0.9	
$\Delta G^{\sharp}(\text{regio}) = G^{\sharp}_{\text{R,s}} - G^{\sharp}_{\text{R,p}}$ $\Delta G^{\sharp}_{\text{R,s}}(\text{enantio})$	$5.4 \text{ (obs } >3)^h$		1.2 (008 1.6)	3.2 (obs >3) <sup>h</sup>		0.9	

 $^aRT \ln k_{\mathrm{Ep}(r\vartheta)}/k_{\mathrm{Ep}(s\vartheta)}$ ,  $^bRT \ln k_{\mathrm{pp}(r\vartheta)}/k_{\mathrm{pp}(s\vartheta)}$ ,  $^cRT \ln k_{\mathrm{sp}(r\vartheta)}/k_{\mathrm{pp}(s\vartheta)}$ ,  $^dRT \ln k_{\mathrm{Ep}(s\vartheta)}/k_{\mathrm{Ep}(r\vartheta)}$ ,  $^eRT \ln k_{\mathrm{pp}(s\vartheta)}/k_{\mathrm{pp}(r\vartheta)}$ ,  $^fRT \ln k_{\mathrm{ps}}/k_{\mathrm{pp}}$ ,  $^gRT \ln k_{\mathrm{ss}}/k_{\mathrm{sp}}$ .  $^hRT \ln k_{\mathrm{pp}(s\vartheta)}/k_{\mathrm{pp}(s\vartheta)}$  $\ln k_{ps(si)}/k_{pp(re)}$ .

Figure 1. Chain and olefin orientations during insertion at a  $\check{C}_2$ -symmetric metallocene.

complex, where the olefin has approached from the direction opposite to the Zr-H  $\beta$ -agostic interaction. For the more hindered Me<sub>2</sub>SiInd<sub>2</sub>Zr–Et<sup>+</sup> and Me<sub>2</sub>C-(Cp)(Flu)Zr-Et]+ systems, this arrangement causes repulsion between the olefin and an arene ring of the ligand. Instead, the most stable complexes have structures without  $\beta$ -agostic interaction, which allows the coordinated olefin to move to the front of the complex and avoid repulsive interactions with the arene ring.



B-agostic backside complex

non-β-agostic complex

**Propene Insertion. 1. Enantioselectivity.** rac-Me<sub>2</sub>- $SiInd_2Zr-R$  and  $Me_2C(Cp)(Flu)Zr-R$  species are known<sup>3,4</sup> to promote *isotactic* and *syndiotactic* propene polymerization, respectively, via 1,2-monomer insertion. Regioselectivity will be discussed in a subsequent section; here we focus on the factors determining enantio-

The widely accepted mechanism of stereocontrol for rac-Me<sub>2</sub>SiInd<sub>2</sub>Zr-R<sup>+</sup> based on the so-called "growing" chain orientation effect", originally introduced by Corradini on the grounds of molecular mechanics (MM),14 and supported by later QM/MM studies of Moroku-

- ma<sup>15,16</sup> and Moscardi, <sup>17</sup> assumes that
   For a generic bis(Cp) metallocene, the four-center transition state for olefin insertion looks like Figure 1A, where the growing chain can point either "up" (position a) or "down" (position b).
- · Substituents, when present, may favor either chain position **a** or **b**. In particular, in a  $C_2$ -symmetric ansabis(indenyl) system (Figure 1B), the chain avoids the arene rings of the indenyl groups.
- The methyl group of the incoming monomer, in turn, avoids the chain, i.e., prefers an anti orientation: d if the chain is in position  $\boldsymbol{a}$ , or  $\boldsymbol{c}$  if the chain is in position

The model can easily be extended to Me<sub>2</sub>C(Cp)(Flu)-Zr-R<sup>+</sup> systems. Figure 1B, indeed, is locally representative of the favored transition state at each of the two enantiotopic sites, and the syndiotactic selectivity is the natural result of the regular alternation of monomer insertion at these sites after chain-migratory insertion. In contrast with  $C_2$ -symmetric species with *homotopic* sites, chain "back-skip" (movement of the growing chain from one metal site to the other) can also introduce stereo-errors here. Therefore, for  $C_s$ -symmetric catalysts the *enantio*selectivity represents an upper limit of the stereoselectivity, which can be approached under conditions of negligible chain back-skip (i.e., high monomer concentration, low polymerization temperature).3,4

Our calculations (Table 1) basically agree with the Corradini model, and confirm the interplay of chain and monomer orientation. In particular, they are as follows.

Preferred Chain Orientation Relative to the Ligand. At each given cation, the preference for a specific chain orientation can already be seen in the Et/ ethene insertion, where the transition state (TS) with the ethyl group toward (tow) the indenyl or fluorenyl arene ring is about 1.5 kcal/mol less favorable than the one with the chain pointing away (aw) from the ligand. For a prochiral monomer like propene, of course, this preference becomes enantio-discriminating.

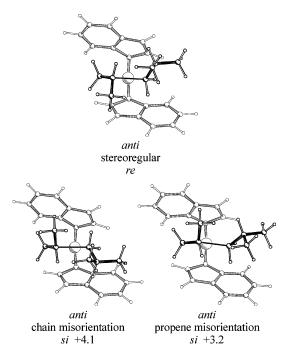
For Me<sub>2</sub>SiInd<sub>2</sub>Zr-Et |+:

For Me<sub>2</sub>C(Cp)(Flu)Zr-Et]+:

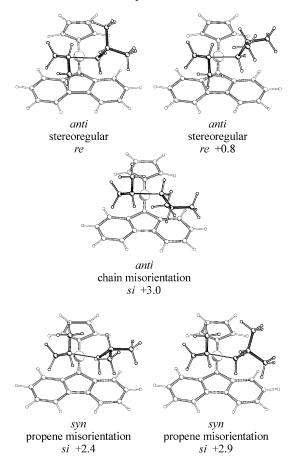
Not unexpectedly, the difference is larger when R is iBu: 4.1 kcal/mol for Me<sub>2</sub>SiInd<sub>2</sub>Zr and 3.0 kcal/mol for Me<sub>2</sub>C(Cp)(Flu)Zr.

Orientation of the Propene Methyl Group Relative to the Chain. In our earlier study on achiral metallocenes,1 we found that insertions with the propene methyl group anti to the chain are 3-4 kcal/mol more favorable than syn orientations. We see the same effect here (Table 2, Ř/propene 1,2 anti aw vs 1,2 syn aw): for Me<sub>2</sub>SiInd<sub>2</sub>Zr, 3.5 kcal/mol when R = Et, 3.2kcal/mol when R = iBu; for  $Me_2C(Cp)(Flu)Zr$ , 2.3 kcal/ mol when R = Et, 2.4 kcal/mol when R = iBu.

Thus, the two parts of the Corradini model are indeed reproduced. In addition, the data in Table 1 make it possible to compare the two possible sources of misinsertions, i.e., chain or propene misorientation. According to earlier MM studies, 14 chain misorientation (propene anti to chain tow) would be dominant. From our calculations, this appears to be true for insertion into Zr-Et, where propene misorientation (propene syn to chain aw) "costs" more (by ca. 2 kcal/mol) and also for Zr-nPr. On the other hand, for insertion into ZriBu, which is more representative of a growing polypropylene chain, we find that the two paths are practically equivalent (see Figures 2 and 3). This seems to be due



**Figure 2.** Transition states and relative barriers (kcal/mol) for regular and irregular 1,2-propene insertion in Me<sub>2</sub>SiInd<sub>2</sub>-Zr-*i*Bu | +. Projection is along Zr-Si; the Si-bound Me groups have been omitted for clarity.



**Figure 3.** Transition states and relative barriers (kcal/mol) for regular and irregular 1,2-propene insertion in  $Me_2C(Cp)$ -(Flu)Zr-iBu  $| ^+$ . Projection is along Zr- $C(Me_2)$ ; the isopropylidene Me groups have been omitted for clarity. Absolute configurations are shown inverted for easy comparison with Figure 2.

to the syn transition states taking up less space in the mouth of the complex, which is more significant for

 $\beta$ -branched alkyl chains than for n-alkyl chains. The figures also show that in the transition states for syn insertion, the four-membered ZrCCC ring is much more puckered than in the anti transition states.

For the  $Me_2SiInd_2Zr-\mathit{i}Bu$ <sup>|+</sup> model system, we find only one low-lying transition state each for the three paths of stereoregular, anti-irregular, and syn-irregular insertion. For  $Me_2C(Cp)(Flu)Zr-\mathit{i}Bu$ <sup>|+</sup>, however, we find  $\mathit{two}$  low-lying transition states each for the regular and syn-irregular paths, which differ only in the orientation of the  $\mathit{i}Bu$  chain.

Let us comment now on the match with experiment (Table 3). From  $^{13}\text{C}$  NMR polypropylene configuration analysis, we measured a difference in activation free energy between 1,2-propene insertion with the two enantiofaces in homopolymerization of  $\Delta \textit{G}^{\#}_{pp}(\text{enantio}) = 2.6 \text{ kcal/mol for } \textit{rac-}\text{Me}_2\text{SiInd}_2\text{ZrCl}_2/\text{MAO},^{18}$  2.2 kcal/mol for Ph<sub>2</sub>C(Cp)(Flu)ZrCl<sub>2</sub>/MAO<sup>19</sup> (MAO = methylalumoxane). This should be contrasted with the calculated values of 3.2 and 2.4 kcal/mol, respectively. The calculated value for  $\textit{rac-}\text{Me}_2\text{SiInd}_2\text{ZrCl}_2/\text{MAO}$  is too high, but the lower enantioselectivity of Me<sub>2</sub>C(Cp)(Flu)-ZrCl<sub>2</sub>/MAO is correctly reproduced.

The partial loss of enantioselectivity predicted for 1,2-insertion into Zr–Et, particularly for the sterically more open Me<sub>2</sub>C(Cp)(Flu)Zr system ( $\Delta G^{\sharp}_{\rm Et,p}$ (enantio) = 1.1 kcal/mol), is also in line with experimental observations; indeed, in propene/ethene copolymerization promoted by Ph<sub>2</sub>C(Cp)(Flu)ZrCl<sub>2</sub>/MAO, we found a  $\Delta G_{\rm Ep}^{\sharp}$ (enantio) = 0.7 kcal/mol.<sup>8</sup>

For  $C_s$ -symmetric catalysts like  $Me_2C(Cp)(Flu)Zr-R^{-1}$ , we have already noted that chain back-skip can be an additional source of stereoerrors. These are readily identified by  $^{13}C$  NMR in the polymers, because they are of ... rrrrmrrr... type, whereas those formed by faults of enantioselection are of ... rrrmmrrr... type. $^{3,4}$  In the case of catalysts with homotopic sites (like rac-Me<sub>2</sub>-SiInd<sub>2</sub>Zr- $R^{-1}$ ), this process has obviously no effect on the stereochemistry.

The number of theoretical studies dealing with back-skip is rather limited. Guerra looked at the relative stabilities of preinsertion intermediates using MM techniques. Bierwagen compared a number of different metals for back-skip in  $Cp_2MMe$  species, and concluded that the potential-energy curve for movement of the methyl group between the two positions is generally rather flat, leading to easy back-skip. However, this conclusion needs not be valid for higher alkyls for the follwoing reasons:

- $\bullet$  Higher alkyl groups have a  $\beta\text{-agostic}$  interaction which must be broken for back-skip to occur.
- The alkyl must rotate around the M-C bond, which requires considerable space near the metal atom. Hence, rotation may have to occur at the most open position of the metallocene wedge, even if this is not the preferred chain position.

Indeed, Jensen reported that for  $H_2CCp_2Zr-Pr|^+$ , back-skip is a multistep process:<sup>22</sup> first the  $\beta$ -agostic interaction is broken and the chain switches to an  $\alpha$ -agostic orientation, and then in the rate-determining step the chain switches the agostic interaction to the other  $\alpha$ -hydrogen while passing through the "planar" arrangement around Zr.

Our calculations produce back-skip barriers of ca. 9-10 kcal/mol for all  $L_2Zr-Et^+$  systems studied, and also for  $Cp_2Ti-Et^+$  (not included in Table 1) and  $L_2Zr-nPr^+$  and  $L_2Zr-iBu^+$ . In agreement with Jensen,  $^{22}$  we

Figure 4. Calculated transition states for back-skip in (A)  $Me_2SiInd_2Zr-Et$  and (B)  $Me_2C(Cp)(Flu)Zr-Et$ .

conclude that back-skip barriers for higher alkyls can be substantially larger than for methyls. In contrast to the results obtained by Bierwagen, we do not find a strong dependence of the barrier on either the nature of the metal or the bending angle of the metallocene; this reinforces the idea that the two factors mentioned above are much more important to the back-skip barrier than the "intrinsic" barrier as calculated for methyl complexes. Figure 4 shows the calculated transition states for back-skip in Me<sub>2</sub>SiInd<sub>2</sub>Zr-Et | and Me<sub>2</sub>C- $(Cp)(Flu)Zr-Et^{+}$ .

It is not easy to put the calculated barriers on the same scale as the insertion ones, since the crude solvent correction we employ (which does not affect the relative olefin insertion barriers) enters directly here; therefore, we do not attempt comparisons with experimental values.

Propene Insertion. 2. Regioselectivity. The calculated regioselectivity of propene insertion into Zr-Et is rather similar for all systems studied (chiral and achiral), with a preference  $\Delta G^{\#}$  (regio) of ca. 4 kcal/mol in favor of the 1,2 (primary) mode. For the two chiral zirconocenes, in particular, the agreement with experiment<sup>6,7</sup> (Table 3) is within the error limits of the theoretical approach.

From Table 1 it can be seen that, for Me<sub>2</sub>SiInd<sub>2</sub>Zr, 2,1-insertion into Zr-Et is enantioselective in favor of the enantioface opposite to that preferred in 1,2-insertion; the latter indeed brings the methyl group in *direct* steric contact with one of the two indenyl ligands (a much more unfavorable interaction than the indirect, chain-mediated one governing 1,2-insertion stereochemistry). This is in full agreement with the experimental finding (Table 3).3,4

A fairly high enantioselectivity of 2,1-insertion is predicted also at each of the two enantiotopic sites of Me<sub>2</sub>C(Cp)(Flu)Zr, although this time the preferred enantioface would be the *same* favored in 1,2-insertion. This is seemingly in conflict with experimental observations suggesting that 2,1-insertion for Ph<sub>2</sub>C(Cp)(Flu)-ZrCl<sub>2</sub>/MAO is substantially stereoirregular.<sup>8</sup> However, one should recall that—as seen before—a secondary insertion is ca. 1000 times slower than a primary one (Table 3), which implies that, relative to it, the conditional probability of a competing event of chain backskip is 1000 times higher. Even in bulk monomer, this is enough to "scramble" the chain between the two sites prior to 2,1-insertion, which results in a lack of stereoselectivity (but *not* of *enantio*selectivity).

We note that, in the earlier literature, the regionegularity of syndiotactic polypropylene produced with  $C_{s-}$ symmetric ansa-zirconocenes had been overestimated, both in absolute sense and relative to that of isotactic polypropylene made with  $C_2$ -symmetric ansa-metal-

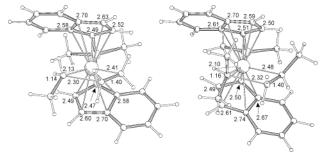


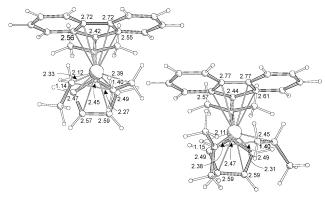
Figure 5. Calculated transition state geometries (bond lengths in Å) for insertion of ethene in Zr-Et and 2,1-insertion of propene in Zr-IPr in the Me<sub>2</sub>SiInd<sub>2</sub>Zr system. The structures are drawn with the top indenyl ring in the same orientation. Numbers at the indenyl carbons are distances to the Zr center.

locenes, due to an inadequate signal-to-noise ratio in the <sup>13</sup>C NMR characterizations.<sup>4,7</sup> To explain the apparent high regioregularity, Guerra proposed a rather elaborate kinetic model in which catalyst regioselectivity would stem from the enantioselectivity of the competing 1,2- and 2,1-insertions and the possibility of olefin rotation in the coordination sphere of the metal.<sup>23</sup> In light of our experimental and theoretical results, such a model seems unnecessary.

After a 2,1-insertion, the resulting secondary alkyl has a higher barrier toward further propene insertion by about 4 kcal/mol. Table 3 shows that, for insertion into Zr-*i*Pr, the 1,2 mode is still the preferred one, although with a much lower selectivity than at Zr-Et  $(\Delta G^{\sharp}(\text{regio}) \text{ of ca. 1 kcal/mol instead of 4})$ . The insertion, moreover, is predicted to be practically nonenantioselective ( $\Delta G^{\#}_{iPr,p}$ (enantio) = 0.6 for Me<sub>2</sub>SiInd<sub>2</sub>Zr, 0.3 kcal/ mol for Me<sub>2</sub>C(Cp)(Flu)Zr). All this is in complete agreement with experiment (Table 3).

**Ethene vs Propene Insertion. Chemoselectivity.** From Table 1, one can see that ethene insertion into Zr-Et (which closely mimics the propagation step in ethene homopolymerization) occurs with similar barriers for all four zirconocenes. The competition between ethene and propene insertion in the same bond is also similar, the latter always being more difficult by 3-5 kcal/mol. Once again, we may say that this is in line with experiment, but with a tendency of the calculations to overestimate the difference in reactivity of the two monomers. For both rac-Me<sub>2</sub>SiInd<sub>2</sub>ZrCl<sub>2</sub>/MAO and Me<sub>2</sub>C-(Cp)(Flu)ZrCl<sub>2</sub>/MAO, the experimental value of the reactivity ratio  $r_{\rm E} = k_{\rm EE}/k_{\rm EP}$  is on the order of  $10-20.^{24,25}$ 

**Ligand Flexibility during Insertion.** Compared to cyclopentadienyl, indenyl and fluorenyl ligands have an increased tendency to change their hapticity, e.g., via (partial)  $\eta^5 \to \eta^3$  slippage. We can clearly see this happening in some of our structures. For example, Figure 5 compares the ligand-Zr arrangement of the crowded transition state for 2,1-insertion of propene in Zr-iPr with the less crowded transition state for ethene insertion in Zr-Et. The increased flexibility of the Me<sub>2</sub>-SiInd<sub>2</sub>Zr system over the Me<sub>2</sub>SiCp<sub>2</sub>Zr system is obvious from the larger bond length changes (up to 0.09 Å) in the former system. Figure 6 shows that for the same reactions of the Me<sub>2</sub>C(Cp)(Flu)Zr system, significant bond length changes occur at the fluorenyl part of the ligand but *not* at the Cp part. This, together with the variability at the transition state of Zr-C and C-C bond lengths we reported earlier for the Cp<sub>2</sub>Zr and H<sub>2</sub>SiCp<sub>2</sub>-Zr systems, illustrates that one should be very careful



**Figure 6.** Calculated transition state geometries (bond lengths in Å) for insertion of ethene in Zr-Et and 2,1-insertion of propene in Zr-iPr in the  $Me_2C(Cp)(Flu)Zr$  system. The structures are drawn with the fluorenyl ring in the same orientation. Numbers at the fluorenyl carbons are distances to the Zr center.

in making assumptions about transition state geometries for use in, e.g., MM calculations.

### **Conclusions**

In a previous paper,¹ we showed that full-QM calculations are able to reproduce to a fairly satisfactory extent the experimental chemo- and regioselectivities in ethene and propene (co-)polymerization of prototypical bridged and unbridged achiral metallocene cations, even without taking the counterion and the solvent explicitly into account. In the present one, we now demonstrate that the same holds for two well-known³.⁴ ansa-zirconocene systems with chirotopic sites, namely rac-Me₂SiInd₂Zr-R ]⁺ and Me₂C(Cp)(Flu)Zr-R ]⁺, for which the enantio-selectivity in propene insertion becomes an additional, fundamental issue.

In the latter respect, in particular, the calculations confirmed the well-known Corradini "growing chain orientation mechanism" of stereoregulation,  $^6$  and provided an ordering for the various sources of stereoerrors as a function of the alkyl group bound to the metal: for R=Et, we found that chain misorientation is dominant, whereas chain and monomer misorientation contribute similarly for the larger iBu group, which should be more representative of a real polymer chain.

Concerning the regioselectivity, the preference for 1,2-over 2,1-insertion was correctly reproduced. Complete agreement with the experiment was also achieved on the tendency of the occasional 2,1 units to remain isolated. For  $Me_2SiInd_2Zr$ , the experimentally observed very high preference of the latter to occur with the enantioface opposite to that favored for 1,2-insertion and the lower enantioselectivity of the 1,2-insertion immediately following a regiodefect were also reproduced.

Despite the remarkably good overall match between experimental and calculated data, it is important to realize that, at the present level of calculation and with the admittedly crude approximations of solvent and counterion effects, the uncertainty in the calculated values even of *relative* insertion barriers is expected to be at least 1 kcal/mol. Therefore, estimates of selectivity, which require to compare the barriers of two competing processes, can differ by up to 2 orders of magnitude from the experimental values and are still to be considered "in agreement" with them. In our opinion, this is not yet good enough to "predict" (in literal sense) catalyst behavior; however, it definitely allows ex post rational-

ization, and should already represent a valid tool for rational improvement of existing catalysts.

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**Supporting Information Available:** Table S1, containing total energies and thermal corrections for all molecules studied (2 pages). This material is available free of charge via the Internet at http://pubs.acs.org. Optimized geometrical parameters for all species are available on request from P.B.

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